magnetically nonequivalent. The α -methylene protons appeared as multiplet at δ 5.03, the γ -methylene protons as a triplet at **6** 3.48, and the @-methylene protons centered at δ 2.66.

At room temperature, protonated 4-hydroxybutyric acid rearranged slowly to the corresponding protonated y-butyrolactone **11.** The nmr spectrum of this solu-

tion cooled back to -80° showed the C=OH proton at δ 12.25 and 12.03 with a relative area ratio of $75:25\%$, indicating that two isomeric species of protonated lactone 11 are formed. The three methylene groups a, b, and c of the protonated lactone appeared as triplets and quintet at **6** 5.45 3.53, and 2.75, respectively. It is

noted that the nmr spectrum showed no $-{\rm OH_2}$ absorp- $\frac{1}{2}$

tions and of course the coupling between $-\overset{+}{\text{OH}_2}$ and the methylene protons is absent. The nmr spectrum is

identical with that of the protonated authentical γ -butyrolactone.

Experimental Section

Materials.-All hydroxycarboxylic acids used in this study were commercially available materials.

Nmr Spectra.-Varian Associates Model A-56/60A spectrometer with variable temperature probe was used for all spectra.

Preparation of Protonated Hydroxycarboxylic. Acids.-The procedure used for the preparation of solutions of protonated hydroxycarboxylic acids was identical with that described previously. **¹⁴**

Acknowledgment.—Support of this work by a grant from the National Institutes of Health is gratefully acknowledged.

(14) G. A. Olah, D. H. O'Brien, and **A.** M. White, *J. Amer. Chem. Sac.,* **89, 5694 (1967).**

Stable Carbonium Ions. CVIII. Protonated Lactones and Their Cleavage Reactions in Fluorosulfuric Acid-Antimony Pentafluoride Solution'

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A series of lactones were protonated in fluorosulfuric acid-antimony pentafluoride solution at low temperature. With the exception of protonated 8-valerolactone, α -acetyl- γ -butyrolactone, coumarin, dihydrocoumarin, and 4-hydroxycoumarin, two isomeric species were found for all the protonated lactones studied. Structure assignments for these two isomers are proposed. Protonated lactones in FSO_aH-SbF₅-SO₂ solution are stable except protonated p-butyrolactone which undergoes alkyl-oxygen cleavage and deprotonation to give protonated crotonic acid at -40° . Protonated α -angelicalactone at -60° undergoes acyl-oxygen cleavage to give the corresponding protonated ketooxocarbonium ion.

In continuation of our preceding study of the protonation of hydroxycarboxylic acids,¹ we felt it of interest to study the protonation and cleavage reactions of lactones in $\text{FSO}_3\text{H}-\text{SbF}_5-\text{SO}_2$ solution.

Extensive kinetic studies were carried out on the hydrolysis of β -lactones.³ With the exception of Hogeveen's recent report⁴ of the behavior of α , α -dimethyl-@-propiolactone in hydrogen fluoride-boron trifluoride solution, no study of lactones in superacid media has been reported. We wish now to report such a systematic study of the protonation and cleavage reactions of lactones in superacid media.

Results **and** Discussion

In $\text{FSO}_3H-\text{SbF}_5$ solution diluted with SO_2 generally at *-80°,* all the lactones studied, *e.g.,* @-propiolactone, β -butyrolactone, γ -butyrolactone, γ -valerolactone, α -bromo- γ -valerolactone. α -bromo- γ -butyrolactone, α -acetyl- γ -butyrolactone, δ -valerolactone, α -angelicalactone, coumarin, dihydrocoumarin, and 4-hydroxy-

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(1) Part CVII: **G. A.** Olah and **A.** T. Ku, *J. 070. Chem.,* **86, 3913 (1970). (2)** National Institutes **of** Health Predoctoral Research Investigator, **1970.**

coumarin, were protonated on the carbonyl oxygen atom.

The protonated lactones give well resolved pmr spectra. Assignments of the pmr chemical shifts and coupling constants of the parent and protonated lactones are summarized in Table I.

The proton on oxygen of protonated lactones occurs at lower field than those in protonated alcohol^^^^ and ethers7 but are more shielded than those in protonated aliphatic ketones⁸ and aldehydes,⁹ similar to those in protonated alkylcarboxylic acids¹⁰ and esters.¹¹ This is consistent with the partial double bond character in the protonated lactones.

With the exception of protonated δ -valerolactone, a-acetyl-y-butyrolactone, coumarin, dihydrocoumarin, and 4-hydroxycoumarin, all the lactones studied gave two low field peaks in the $C=OH$ region at low temperature. This indicates the existence of hindered rotation about the C-0 bond. In all cases no coupling was $\ddot{\ddot{\texttt{t}}}$

- (6) *G.* **A.** Olah and E. Namanworth, *J. Amer. Chem. Sac.,* **88, 5327 (1966).**
- **(6) G. A.** Olah, **J.** Sommer, and **E.** Nsmanworth, *ibid.,* **89, 3576 (1967). (7)** *G.* **A.** Olah and D. H. O'Brien, *ibid.,* **89, 1725 (1967).**
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- **(8) G. A.** Olah, M. Calin, and D. H. O'Brien, *e'bid.,* **89, 3586 (1967).**
- **(9) G. A.** Olah, D. H. O'Brien, and M. Calin, *ibid.,* **89, 3582 (1967).**
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- **(10) G. A.** Olah and **A.** M. White, *ibid.,* **89, 3591 (1967). (11) G. A.** Olah, D. H. O'Brien, and **A.** M. White, *ibid.,* **89, 5694 (1967).**

⁽³⁾ For references, Bee **A. A.** Frost and R. G. Pearson, "Kinetics and Mech-

⁽⁴⁾ H. Hogeveen, *Red. Trau. Chim. Pays-Bas,* **87, 1303 (1968).** anism," 2nd ed, Wiley, New **York,** N. Y., **1965,** Chapter **12.**

observed between the proton on oxygen and the α -alkyl protons. At higher temperatures, in some of the cases, only the major $C=OH$ resonance is observed. +

Protonated β **-propiolactone** in 1:1 $FSO_3H-SbF_6 SO₂$ solution at -80° showed two low field absorptions in the $C=OH$ region at δ 14.2 and 14.4 with relative area ratio of **60 :40,** respectively, indicating that two isomeric species **(la** and **lb)** are present. The two \pm .

methylene groups appeared as broad peaks at 6 **5.50** and **4.13.** At higher temperatures, such as **-40",** the lower \pm

 $_{\rm field}$ C=OH peak begins to broaden, owing to exchange with the acid solvent system, and finally disappears. At -20° , the methylene protons appear as two well resolved triplets with a coupling constant of **6.0** Hz.

The pmr sectrum of **protonated** β -butyrolactone in $FSO₃H-SbF₅-SO₂$ solution, recorded at -60° , showed **I** the C=OH proton as two singlets at δ 12.9 and 13.0 with relative area ratio of **52** : **48,** indicating two forms **(2a** and **2b)** are present. It should be noted that the

 r elative area ratio of the higher field $C = \overline{O}H$ resonance with the lower field one is less than that of protonated β -propiolactone. The methylene doublet of protonated @-butyrolactone appears at 6 **3.51,** methine multiplet at 6 **5.66,** and the methyl doublet at **6 1.75.**

Protonated γ **-butyrolactone, 3a and 3b, in the same** solvent system at -80° , showed the proton on oxygen as two singlets with relative area ratio of **75:25** at 6 **12.30** and **12.51.** The resonance at 6 **12.51** begins to 12.30 and 12.51. The resonance at δ 12.51 begins to broaden as temperature increases, and at -60° only the major resonance at 6 **12.30** is observed. The *a-* and γ -methylene triplets appear at δ 3.60 and 5.50, respectively, and the β -methylene protons appear as quintet aft 6 **2.80.**

The nmr spectrum of **protonated y-valerolactone, 4a** and **4b,** at -80° showed the two C=OH resonances

at 6 **11.8** and **12.1** with relative area ratio of **75** : **25.** At -70° only the singlet at δ 11.8 is observed. The chemical shifts and coupling constants of the alkyl protons are summarized in Table I.

Protonated α -bromo- γ -butyrolactone (5a and 5b) at -60° showed the C=OH proton at δ 12.93 and **13.2** with a relative area ratio of **85: 15** which is larger than that of protonated γ -butyrolactone. The two C=OH singlets are temperature independent and could be seen even at a temperature as high as -40° , indicating that proton exchange remained slow. $\frac{1}{2}$ \pm .

Again two forms **(6a** and **6b)** are observed for **protonated** α **-bromo-** γ **-valerolactone** with relative ratio of **65:35** at **6 12.5** and **12.65,** respectively. The isomer ratio is lower than that of protonated α -bromo- γ -butyrolactone, and the C=OH resonances are also sharp singlets even at a temperature as high as -40° . \pm .

The nmr spectrum of **protonated 6-valerolactone (7)** at -70° gave only one singlet for the C $-$ OH proton at **11.3.** No other C=OH resonance could be observed even when the temperature of the solution was lowered to **-100".** This indicates that only one protonated form was observed. \pm .

 α -Acetyl- γ -butyrolactone in FSO₃H-SbF₅-SO₂ solution is diprotonated **(8a).** The pmr spectrum recorded

at -90° showed two sharp singlets at δ 16.6 and 14.03 which are assigned to the protons on the acetyl oxygen and the lactone carbonyl oxygen, respectively. No coupling was observed between the proton on oxygen and the *a* protons.

Protonated α -angelicalactone (9a and 9b) in FSO₃H- $SbF₅$ solution diluted with $SO₂$ at -80° gave an nmr spectrum similar to that of the parent compound in SO_2 except it showed additional C=OH resonances at δ **12.6** and **12.9 (60:40)** and the deshielding of the alkyl protons (see Table I). The nmr spectrum also showed additional small resonances at **6 3.53** and 4.80 which can be attributed to the methyl and methylene protons of the cleavage product (see later discussion) and are due to local heating during sample preparation. The in-

tensities of the resonances of the cleavage product did not increase with time at -80° .

The pmr spectrum of protonated coumarin (10) in $FSO₈H-SbF₅$ solution diluted with $SO₂$ at $-70°$ showed the two $-CH=CH-$ doublets at δ 9.36 $(J = 9.2 \text{ Hz})$ and **7.63,** and the aromatic resonance centered at 6 8.33. The spectrum showed no C=OH resonance. This is due to the fact that in protonated coumarin the -OH proton is probably in a keto-enol equilibrating form, and proton exchange occurred. \pm . and 7.63, and the arom

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The same reason is suggested for not being able to *¹*

observe the $C=OH$ resonance for protonated 4-hydroxy coumarin, 11. Comparison of the chemical shifts of $=$ CH and the aromatic protons with those of protonated coumarin **10** indicated that 11 probably was only monoprotonated.

Dihydrocoumarin was protonated (12) on the carbonyl oxygen and showed only one $C=OH$ resonance at δ 12.6 at -60° which is consistent with the observation of protonated δ -valerolactone.

Structure of Protonated Lactones.--Protonated lactones with the exceptions of protonated δ -valerolactone, coumarin, 4-hydroxycoumarin, α -acetyl- γ -butyrolac-

tone, and dihydrocoumarin, all showed two $C = \overrightarrow{OF}$ resonances. The partial double bond character of the carbon-oxygen bonds and the observation of two OH resonances for the proton on oxygen imply the presence of two isomers (13a and 13b) in protonated lactones.

Similar isomers have been observed in the case of other protonated carbonyl compounds. $8-11$ No coupling of the proton on oxygen with the α -alkyl protons was observed for protonated lactones. Therefore, no structural assignments could be made on this basis.

It has been shown, however, that protonated alkyl formates have two isomeric forms $(14 \text{ and } 15)^{11-13}$ in

which the OH proton, the methine proton, and the alkyl group were in a cis-trans relationship. It was also reported¹⁴ that the isomer observed for dimethoxy carbonium ion had the cis-trans structure 16. In addition,

it has been shown that the preferred conformation of esters¹⁵ is the one in which the alkyl group is coplanar and cis to the carbonyl oxygen **(17)** thus minimizing the interaction between the lone pairs on oxygen.¹⁶

⁽¹²⁾ A. M. White and G. A. Olah, *J. Amer. Chem.* Soc., **91, 2943 (1969).**

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- (13) H. Hogeveen, Recl. Trav. Chim. Pays-Bas, 86, 816 (1967).

(14) R. F. Borsch, J. Amer. Chem. Soc., 90, 5303 (1968).

(15) G. J. Karabatsos, N. Asi, and C. E. Orzch, Jr., Tetrahedron Lett., 4639 (1966), and references
- **(16) N. L. Owen and N. Sheppard, Proc.** *Chem.* **SOC.** *(London),* **264 (1963).**

In protonated lactones the orientation of the 0-alkyl group is fixed trans to the OH proton. Thus in order to minimize the lone pair interactions of the two oxygens, the orientation of the $C=OH$ proton has to be cis to the ether oxygen. Thus we suggest that the predominant species in protonated lactones is that of structure **13a,** \pm

in which the $C=\stackrel{\leftarrow}{\text{OH}}$ proton is cis to the ether oxygen. The minor species would then be structure **13b.**

A number of additional facts are consistent with this

assignment. The C=OH absorption of the major isomer of protonated lactones is assumed to be more shielded than that of the minor isomers. This is in agreement with the C^{13} nmr study of protonated carboxylic acids which indicated¹⁷ that proton H_A of 18 has a \pm .

more shielded chemical shift than that of H_B . In addition, the inner methyl protons of dimethoxy carbonium i0n12~14 **16,** and the inner OH proton of protonated alkyl formates **15,** also have more shielded chemical shifts than those of the corresponding outer ones.

Some hydrogen bonding interaction between the OH proton and the neighboring oxygen in spite of the resultant unfavorable four-membered ring is possible and could add to the preponderance of isomer **13a** over **13b.** In addition, if this is the case, the hydrogen bonded proton should be in the shielding zone of the carbonyl.

Hence the (;=OH proton of **13a** should be more shielded than that of **13b,** in agreement with our structural assignment. \pm .

It should be noted that the relative isomer ratio of **13a: 13b** of protonated lactones is found to be increased as the ring size is increased. This is due to the fact that the lone pair interaction of six-membered ring lactones is much larger than that of the four-membered ring lactones. This may be the reason why only one isomer, presumably the major isomer **13a,** is observed for protonated 6-valerolactone and protonated dihydrocoumarin.

Steric effects should also play a role in the isomer distributions of protonated lactones. Substituents at the position α to the carbonyl should tend to increase the amount of the major isomer, while the substituents α to the ether oxygen should decrease the amount of the major isomer. This indeed is in accordance with our observations (see Table **11).** In the case of protonated α -acetyl- γ -butyrolactone, only one C=OH resonance for the proton on the lactone carbonyl oxygen was observed, and was assigned to **8a.** It is believed that sterically and electronically isomer **8a** should be favored than **8b.** Thus, the observed data are indeed in accordance with our structural assignment.

Cleavage of Protonated Lactones.-In general, protonated lactones in $FSO_3H-SbF_5SO_2$ solution are stable. No cleavage was observed for protonated five- and six-membered ring lactones (except protonated

TABLE I1

PER CENT ISOMER DISTRIBUTIONS OF PROTONATED LACTONES IN $FSO₃H-SbF₅-SO₂$ Solution at -80°

Compd	$%$ isomer-	
	13a	13 _b
1	60	40
2	52	48
3	75	25
4	75	25
5	85	15
6	65	35
9	60	40
8	100	
7	100	

 α -angelicalactone) even when solutions were heated up $to +65^\circ$.

Protonated β -propiolactone (1a and 1b) in FSO₃H- $SbF₅-SO₂$ solution, in contrast to Hogeveen's study on α , α -dimethyl- β -propiolactone⁴ and kinetic studies in weaker acidic systems, is stable and the nmr spectrum showed no significant change from -80° to room temperature.

Protonated β -butyrolactone (2a and 2b) is stable up to -50° . At higher temperature cleavage occurred. At 0° , the nmr spectrum showed the absorptions for protonated crotonic acid **(19)** which has been studied previously, l8 and the absorptions for the propenyloxocarbonium ion(20). This indicates that alkyl-oxygen cleavage occurred to form the secondary carbonium ion **(21)** which is not stable and is deprotonated to give the protonated crotonic acid **(19)** which in turn undergoes further dehydration to give the corresponding oxocarbonium ion **20.** The nmr spectrum, recorded at 0",

also shows a singlet at δ 9.53 and some multiplets at δ **4.3-5.1** which are not understood at the present time.

Protonated α -angelicalactone in excess FSO₃H-SbF₅ solution at -60° underwent acyl-oxygen cleavage to give the corresponding protonated ketooxocarbonium ion 22. The nmr spectrum recorded at -60° showed methylene protons at **6 4.80** (broad), the methyl singlet at δ 3.53, and the proton on oxygen at δ 16.2 which is more deshielded than that of protonated simple ketones* and is similar to that of protonated ketooxocarbonium ion studied previously.¹⁹ The two resonances of the two methylene groups **(1** and **2)** of ion **22** are well separated on a HA **100** nmr spectrum and having chemical shifts of 6 **4.96** and **4.71,** respectively.

⁽¹⁷⁾ G. **A.** Olahl and **A.** M. White, *J. Arner. Chem. SOL,* **89, 7072 (1967).**

⁽¹⁸⁾ G. A. Olah and M. Calin, *ibid.,* **90, 405 (1968).**

⁽¹⁹⁾ G. **A.** Olah, **A.** T. **Xu,** and J. Sommer, *J. Org. Chem.,* **86, 2159 (1970).**

It should be mentioned that ion **22** was not formed by dehydration of protonated acetylpropionic acid which was studied previously.¹⁹ Obviously, the double bond in α -angelicalactone does assist the formation of ion **22.** It is possible that the diprotonated species

(23) could be the intermediate for the formation of ion 22. In excess $FSO₈H-SbF₅$ solution, ion 23 is not observed.

Experimental Section

Materials.--All lactones were commercially available materials. Liquid lactones were redistilled before use.

Nmr Spectra.-Varian Associates Model A-56/60A and HA 100 spectrometers with variable temperature probes were used for all spectra.

Preparation of Protonated Lactones.-The procedure used for the preparation of solutions of protonated lactones was identical with that described previously.1l

Registry No.--Fluorosulfuric acid, 7789-21-1; antimony pentafluoride, 7783-70-2.

Acknowledgment.—Support of the work by a grant of the National Institutes of Health is gratefully acknowledged.

Stable Carbonium Ions. CIX. Protonation of Hydroxy Ketones in Fluorosulfuric Acid-Antimony Pentafluoride-Sulfur Dioxide Solution and the Study of Hydroxy Ketone-Antimony Pentafluoride Complexes¹

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Protonation of hydroxy ketones was studied in $FSO₃H-SbF₅-SO₂$ solution. Oxygen diprotonation was observed for all the hydroxy ketones. For comparison, hydroxy ketone-antimony pentafluoride complexes were also studied in sulfuryl chloride fluoride solution.

We have previously reported the observation of protonated ketones,³ ketocarboxylic acids,⁴ hydroxycarboxylic acids,⁵ and lactones.¹ No investigation relating to protonation of hydroxy ketones has been reported so far in the literature. In continuation of our work of protonation of heteroorganic compounds, we considered it of interest to extend our investigation to the protonation of hydroxy ketones in the fluorosulfuric acid-antimony pentafluoride superacid system.

Results and Discussion

Protonated Hydroxy Ketones.-The pmr parameters of protonated hydroxyketones have been measured in $\text{FSO}_3\text{H}-\text{SbF}_5-\text{SO}_2$ solution at -80° . It was found that 1-hydroxy-2-propanone (acetol, hydroxyacetone) , 3-hydroxy-2-butanone (acetoin), 3-hydroxy-3-methyl-2-butanone, and **4-hydroxy-3-methyl-2-butanone** are diprotonated in excess 1:1 *M* FSO_8H-SbF_5 solution diluted with SO_2 . In the case of hydroxyacetone the monoprotonated form was also observed. In all cases there is no indication of resolvable fine structure in the OH resonance. The peaks are broad indicating exchange of the proton on oxygen with the solvent acid system. The pmr parameters of both the parent and the protonated hydroxy ketones are summarized in Table I.

The pmr spectrum of diprotonated hydroxyacetone (acetol, 1) in excess 1:1 $FSO₃H-SbF₅-SO₂$ solution at -80° showed two low field absorption peaks at δ 12.5 and 16.8 with a relative area ratio of $2:1$. These two absorptions are assigned to the proton on the alcohol and ketone oxygen atom, respectively. The protons on oxygen of protonated hydroxyacetone are much more deshielded than those of protonated methanol^{6,7} and acetone.³ The effect of the double positive charge is considered to be responsible for this strong deshielding. The nmr spectrum shows two singlets for the methyl protons at δ 3.88 and 3.63, and two sets of methylene resonance at 6 7.16 and 6.46. The higher field methyl and methylene protons tend to increase with decreasing acid concentration. We consequently assign the lower field methylene (δ 7.16) and methyl (δ 3.88) absorptions to the diprotonated species (la) and the higher field resonances to the monoprotonated species (lb). The protons on oxygen for lb are not observed probably owing to proton exchange. The proton on oxygen for 1a could only be observed at temperatures below -80° . At these low temperatures the absorptions are broad and show no resolvable coupling with the α protons. Hence no structural assignment could be made.

(6) G. **A.** Olah and E. Nsmanworth, *J. Amer. Chem.* **Soc.,** *88,* **5327 (1966). (7) G. A.** Olah, **J.** Sommer, and E. Namanworth, *abid.,* **89, 3576 (1967).**

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⁽¹⁾ Part CVIII: *G.* **A.** Olah and **A.** T. Ku, *J. Ow. Chem.,* **36, 3916 (1970).**

⁽²⁾ National Institutes **of** Health Predoctoral Research Investigator, **1967-1970.**

⁽³⁾ G. **A.** Olah, M. Calin, and D. H. O'Brien, *J. Amer. Chem. Soc.,* **89, (4)** G. **A.** Olah, **A.** T. **Ku,** and J. Sommer, *J. Ow. Chem.,* **96, 2159 (1970). 3586 (1967).**

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